

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

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Substitute for form 1449/7

**Supplemental  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
(Use as many sheets as necessary)**Complete if Known**

Application Number	10/634,665
Filing Date	08/05/2003
First Named Inventor	Rychnovsky
Art Unit	3739
Examiner Name	
Attorney Docket Number	0130541

Sheet 1 of 2

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
NS ↑		US- 4,646,742 A	03-03-1987	Rydell et al.	
		US- 4,846,172 A	07-11-1989	Berlin	
		US- 5,028,621 A	07-02-1991	Weishaupt et al.	
		US- 5,201,317 A	04-13-1993	Shin-Ichiro et al.	
		US- 5,514,092 A	05-07-1996	Stowell et al.	
		US- 5,725,522 A	03-10-1998	Sinofsky	
		US- 5,766,151 A	06-16-1998	Snow et al.	
		US- 5,840,059 A	11-24-1998	March et al.	
		US- 5,916,193 A	06-29-1999	Evard et al.	
		US- 6,029,671 A	02-29-2000	Stevens et al.	
		US- 6,053,911 A	04-25-2000	Ryan et al.	
		US- 6,117,128 A	09-12-2000	Gregory et al.	
		US- 6,200,307 B1	03-13-2001	Van Tassel et al.	
		US- 6,322,577 B1	11-27-2001	McInnes et al.	
		US- 2001/037080 A1	11-01-2001	Mueller, et al.	
NS ↓		US- 2002/045811 A1	04-18-2002	Feld et al.	
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> *Number <sup>4</sup> *Kind Code <sup>5</sup> (if known)				
NS		DE 34 06 294 A	09-05-1985	Hubmann		
↑		WO 98/48882 A	11-05-1998	Latis Inc		
↓		WO 99/64099 A	13-16-1999	Cardeon Corp		
↓		EP 1 159 984 A	12-05-2001	Vayu		
NS		WO 03/079989 A	10-02-2003	Ceramoptec Ind		

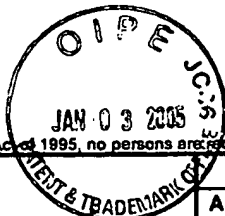
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SignatureDate  
Considered

1/21/2007

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO

**Supplemental  
INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete If Known**

Application Number 10/634,665

Filing Date 08/05/2003

First Named Inventor Rychnovsky

Art Unit 3739

Examiner Name

Sheet

2

of

2

Attorney Docket Number 0130541

**NON PATENT LITERATURE DOCUMENTS**

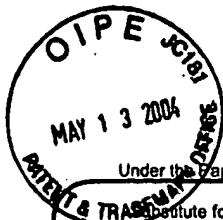
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
NS		MASOUD PANJEHPOUR et al, Centering Balloon to Improve Esophageal Photodynamic Therapy, 1992, 663-638, 12:6, Lasers in Surgery and Medicine, Wiley-Liss, NY	
NS		International Search Report, PCT/US03/24656 (09-22-2004)	

Examiner Signature	/Nasir Shahrestani/	Date Considered	01/21/2007
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1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

### Complete if Known

Application Number	10/634,665
Filing Date	08/05/2003
First Named Inventor	Scott
Art Unit	3739
Examiner Name	
Attorney Docket Number	0130541

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
NS	62	US- 4,576,145 A	03/18/1986	Akira et al.	
NS	63	US- 5,116,317 A	05/26/1992	Carson Jr. et al	
NS	64	US- 5,350,375 A	09/27/1994	Deckelbaum et al	
NS	65	US- 5,409,483 A	04/25/1995	Campbell et al	
NS	66	US- 5,445,608 A	08/29/1995	Chen et al	
NS	67	US- 5,833,688 A	11/10/1998	Oslan et al	
NS	68	US- 5,935,075 A	08/19/1999	Bearman et al.	
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		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
NS	B6	DE 35 12 018 C	01/02/1987	Schubert		
NS	B7	WO 93/15664 A	08/19/1993	American Med Sy		
NS	B8	WO 96/07451 A	03/14/1996	Sinofsky et al		
NS	B9	WO 97/24154 A	07/10/1997	Cordis Corp		
NS	10	EP 0 820 786 A	01/28/1998	Cordis Corp		
NS	11	WO 01/60427 A	08/23/2001	Transvascular		

Examiner Signature	/Nasir Shahrestani/	Date Considered	01/21/2007
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Substitute for form 1449/PTO  <h2 style="text-align: center; margin: 0;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</h2> <p style="text-align: center; margin: 5px 0;">(Use as many sheets as necessary)</p>		Complete If Known	
		Application Number	10/634,665
		Filing Date	08/05/2003
		First Named Inventor	Scott
		Art Unit	3739
		Examiner Name	
Sheet	2	of	2
		Attorney Docket Number	0130541

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
NS	C7	International Search Report, PCT/US03/24657, 04/30/2004	

Examiner Signature	/Nasir Shahrestani/	Date Considered	01/21/2007
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STATEMENT BY APPLICANT**

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Sheet 1 of 1

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Application Number	10/634,665
Filing Date	08/05/2003
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NS	61	US- 4576145	03/18/1986	Tsuno et al.	
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		Country Code <sup>2</sup> Number <sup>3</sup> Kind Code <sup>4</sup> (if known)			

Examiner  
Signature

/Nasir Shahrestani/

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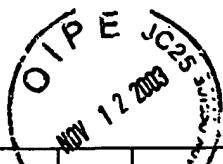
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Form PTO-1449 (Rev. )	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 0130541	APPLICATION NO. 10/634,665
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use several sheets if necessary)		APPLICANT: Robert W. Scott, et al.	
		FILING DATE: 08/05/2003	GROUP:
<b>CATHETER AND METHOD FOR DIAGNOSIS AND TREATMENT OF DISEASED VESSELS</b>			

U.S. PATENT DOCUMENTS

Examiner's Initials		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
NS	A1	3,045,677	07/24/1962	Wallace	604	101.05	05/03/1960
NS	A2	4,207,874	06/17/1980	Choy	128	6	03/27/1978
NS	A3	4,445,892	05/01/1984	Hussein et al.	604	101	05/06/1982
NS	A3	4,512,762	04/23/1985	Spears	604	21	11/23/1982
NS	A4	4,619,247	10/28/1986	Inoue et al.	600	116	03/23/1984
NS	A5	4,660,571	04/28/1987	Hess et al.	128	784	07/18/1985
NS	A6	4,717,387	01/05/1988	Inoue et al.	604	264	06/25/1986
NS	A7	4,784,133	11/15/1988	Mackin	606	7	01/28/1987
NS	A8	4,785,815	11/22/1988	Cohen	128	642	10/23/1985
NS	A9	4,878,725	11/07/1989	Hessel et al.	350	96.15	05/24/1988
NS	A10	4,946,460	08/07/1990	Merry et al.	606	24	04/26/1989
NS	A11	5,019,075	05/28/1991	Spears et al.	606	7	07/31/1990
NS	A12	5,053,033	10/01/1991	Clarke	606	3	10/10/1990
NS	A13	5,087,244	02/11/1992	Wolinsky et al.	604	53	09/27/1990
NS	A14	5,108,390	04/28/1992	Potocky et al.	606	21	11/14/1988
NS	A15	5,109,859	05/05/1992	Jenkins	128	662.03	10/04/1989
NS	A16	5,125,058	06/23/1992	Tenerz et al.	385	66	07/10/1991
NS	A17	5,158,560	10/27/1992	Sogawa et al.	606	15	06/06/1989
NS	A18	5,169,395	12/08/1992	Narciso, Jr.	606	7	04/26/1991
NS	A19	5,176,674	01/05/1993	Hofmann	606	7	02/15/1991
NS	A20	5,178,616	01/12/1993	Uemiya et al.	606	7	06/06/1989
NS	A21	5,188,632	02/23/1993	Goldenberg	606	7	10/03/1990
NS	A22	5,196,005	03/23/1993	Doiron et al.	606	7	11/26/1991
NS	A23	5,217,456	06/08/1993	Narciso, Jr.	606	15	02/24/1992
NS	A24	5,226,889	07/13/1993	Sheiban	604	101	07/24/1991



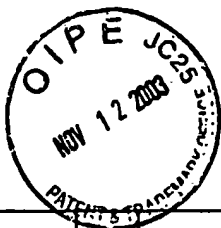
Examiner's Initials	PATENT & TRADEMARK	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
NS	A25	5,231,684	07/27/1993	Narciso, Jr. et al.	385	80	06/22/1992
NS	A26	5,237,638	08/17/1993	Narciso, Jr.	385	123	03/14/1991
NS	A27	5,267,995	12/07/1993	Doiron et al.	606	15	09/01/1992
NS	A28	5,269,777	12/14/1993	Doiron et al.	606	7	03/16/1992
NS	A29	5,370,608	12/06/1994	Sahota et al.	604	20	05/03/1994
NS	A30	5,417,653	05/23/1995	Sahota et al.	604	20	01/21/1993
NS	A31	5,441,497	08/15/1995	Narciso, Jr.	606	15	07/14/1994
NS	A32	5,454,794	10/03/1995	Narciso et al.	604	280	10/15/1993
NS	A33	5,456,661	10/10/1995	Narciso, Jr.	604	20	03/31/1994
NS	A34	5,505,700	04/09/1996	Leone et al.	604	96	06/14/1994
NS	A35	5,588,961	12/31/1996	Leone et al.	604	21	01/05/1996
NS	A36	5,620,438	04/15/1997	Amplatz et al.	606	10	04/20/1995
NS	A37	5,674,198	10/07/1997	Leone	604	101	06/23/1995
NS	A38	5,700,243	12/23/1997	Narciso, Jr.	604	102	05/24/1996
NS	A39	5,709,653	01/20/1998	Leone	604	20	07/25/1996
NS	A40	5,728,068	03/13/1998	Leone et al.	604	101	12/28/1995
NS	A41	5,728,092	03/17/1998	Doiron et al.	606	15	03/07/1996
NS	A42	5,797,868	08/25/1998	Leone	604	21	07/25/1996
NS	A43	5,833,682	11/10/1998	Amplatz et al.	606	15	08/26/1996
NS	A44	5,876,426	03/02/1999	Kume et al.	607	88	06/13/1996
NS	A45	5,899,882	05/04/1999	Waksman et al.	604	96	04/04/1996
NS	A46	5,891,082	04/06/1999	Leone et al.	604	21	11/13/1997
NS	A47	5,957,917	09/28/1999	Doiron et al.	606	15	09/22/1997
NS	A48	5,964,751	10/12/1999	Amplatz et al.	606	15	08/25/1998
NS	A49	5,978,541	11/02/1999	Doiron et al.	385	139	04/16/1997
NS	A50	6,013,053	01/11/2000	Bower et al.	604	96	05/17/1996
NS	A51	6,056,721	05/02/2000	Shulze	604	102	08/08/1997
NS	A52	6,086,558	07/11/2000	Bower et al.	604	96	12/29/1998
NS	A53	6,096,030	08/01/2000	Ortiz	606	14	09/23/1997
NS	A54	6,132,423	10/17/2000	Aita et al.	606	7	07/21/1997
NS	A55	6,138,046	10/24/2000	Dalton	600	476	04/20/1999
NS	A56	6,146,409	11/14/2000	Overholt et al.	607	88	09/04/1996
NS	A57	6,165,196	12/26/2000	Stack et al.	606	194	09/25/1998
NS	A58	6,366,719	04/02/2002	Heath et al.	385	31	08/17/2000
NS	A59	6,364,874	04/02/2002	Bays et al.	606	15	08/28/1998
NS	A60	6,517,533	02/11/2003	Swaminathan	606	20	05/04/1999



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			Kind Code <sup>5</sup> Office <sup>3</sup> Number <sup>4</sup> (if known)					
NS /	B1	EP	0 311 458	A2	Sinfosky et al.	12/04/1989		
NS /	B2	FR	2 577 410	A1	Karcher et al.	00/00/1986		
NS /	B3	WO	89/06935		Radtke	08/10/1989		
NS /	B4	EP	0732079	A1	Ligtenberg et al.	09/18/1996		
NS /	B5	EP	07321085	A1	Leone et al.	09/18/1996		





OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner's Initials		Author	Title	Date - Pertinent Pages
NS /	C1	Y. Uchida	"Coronary Angioscopes that have been Devised in our Laboratories," <i>Coronary Angioscopy</i>	2001 - Chapter 2, Pages 7 - 24
NS /	C2	M. Saab	"Applications of High-Pressure Balloons in the Medical Device Industry"	Sept. 2000
NS /	C3	S. Rockson et al	"Photoangioplasty, An Emerging Clinical Cardiovascular Role for Photodynamic Therapy," <i>Circulation</i>	102: 591-596 Aug. 1, 2000
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NS /	C5	J. Kaplan et al.	"Flash photolysis of Caged Compounds: New Tools for Cellular Physiology," <i>Trends in Neuroscience</i>	12: 54-59 Feb. 1989
NS /	C6	C. Gomer et al.	"Properties and Applications on Photodynamic Therapy," <i>Radiation Research</i>	120: 1-18 Oct. 1989
EXAMINER /Nasir Shahrestani/				DATE CONSIDERED 01/21/2007
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